



CSA GROUP
Laboratory Test Data - UL 9540A

Master Contract:302680

Report: 80204927

Project: 80204927

Apr.16, 2024

Mr. Yong Zhou
Project manager
FOXESS CO., LTD.
No.939,Jinhai Third Road,
New Airport Industry Area
Longwan District
Wenzhou,Zhejiang 325025
China

Subject: Lithium-ion Rechargeable battery module, model EQ4000 (UL 9540A Test Report)

Dear Mr. Yong Zhou

We are pleased to inform you that testing of your product per UL 9540A has been completed. Applicable test(s) was witnessed at ChuWeiNeng Testing Technology (Shanghai) Co.Ltd, and witnessed by CSA Group – Kunshan. Module level of test was conducted on the sample provided and the results are enclosed in the test report.

Note: This Test Report is not an Authorization to apply the CSA Mark to the product. The results contained in the report(s) provided are contingent upon the characteristics of the actual sample(s) used in the investigation. In the absence of a continuing inspection service, CSA provides no assurance, expressed or implied, that the contents of the report are applicable to reproductions of the sample(s). Use or reproduction of the CSA name, logo, or trademark is not permitted without the prior written consent of CSA. No references can be made to this report when using the results of this investigation for the purposes of advertising, promotion or litigation, without the prior written consent of CSA.

Please examine the enclosed documents and contact me if you have any questions or would like us to make any changes.

On behalf of CSA, I would like to thank you for your business and offer our services for your future needs.

Yours truly,

Evan Zhang
CSA –CCIC-CSA International Certification Co., Ltd. Kunshan Branch
Building 8, Tsinghua Science Park, No. 1666 Zu chongzhi Rd (S), Kunshan, Jiangsu (215347)



Encl. [UL 9540A Test Report]
Att.1 - Module charge/discharge conditioning graphs
Att.2 - Photos
Att.3 - Cell temperature/voltage graph during testing
Att.4 - Heat Release Rate graphs
Att.5 - Gas generation graphs
Att.6 - Smoke release graph
Att.7 - Notable observation during test
Att.8 - Video (separated file)



ORIGINAL TEST DATA

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| Master Contract: | 302680 | Model: | EQ4000 | Page number 1 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Standard(s): ANSI/CAN/UL 9540A:2019 Fourth Edition, Dated November 12, 2019 - Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems

| | |
|---------------------------------|---|
| Testing Laboratory Name: | CCIC-CSA International Certification Co.,Ltd. Kunshan Branch |
| Address: | Building 8, Tsinghua Science Park, No. 1666 Zu chongzhi Rd(S), Kunshan, Jiangsu(215347) |
| Testing Program: | Custom Test : Cover Latter <input checked="" type="checkbox"/> , Testing Only <input type="checkbox"/> |

If tests were performed at another facility, then described below:

| | |
|---------------------------------------|--|
| Testing Laboratory Name: | ChuWeiNeng Testing Technology (Shanghai) Co.Ltd |
| Address: | Building 3, No.1065 Beihe Road, Jiading District, Shanghai |
| Facility Qualification Number: | N/A |

| | |
|------------------|--|
| Customer: | As above / or describe otherwise FOXESS CO., LTD. |
| Address: | No.939,Jinhai Third Road,New Airport Industry Area,Longwan District, Wenzhou,Zhejiang 325025,China |

| | |
|--|---------------------------|
| Tested By: | Jiaming Huang, Technician |
| | Name, Title |
| <i>Jiaming Huang</i> | 2024-03-19 |
| Signature | Date (YYYY-MM-DD) |
| <input checked="" type="checkbox"/> Reviewed by: | Jarvis Shen, Certifier |
| <input checked="" type="checkbox"/> Witnessed by: | Name, Title |
| <i>Evan Zhang/Jarvis Shen</i> | 2024-03-19 |
| Signature | Date (YYYY-MM-DD) |

Version 6.2 : 2023-06-15



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| Master Contract: | 302680 | Model: | EQ4000 | Page number 2 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

| Cell Level Test Summary | |
|--|---|
| Name of test laboratory perform cell level testing: | UL(Changzhou) Quality Technical Service Co.,LTD |
| Unique identification of test report: | UL, Project Number:4790517961 |
| Standard and its edition used for testing: | UL9540A,4 th edition |
| Manufacturer: | REPT BATTERO Energy Co.,Ltd |
| Brand name / Trademark: | N/A |
| Model number: | CB59 |
| Nominal cell voltage, (V) | 3.2 |
| Cell capacity, (Ah) | 72 |
| Cell chemistry: | LFP |
| Physical format of cell: | Prismatic |
| Approximate dimension, (mm) | Depth:39.72±1;Width: 148.66±1;Height:110. 01±1 |
| Mass, (g) | 1380±150 |
| Method used to initiate thermal runaway: | External heating |
| Average temperature at which cell first vented excluding gas collection sample, (°C) | 154 |
| Average temperature prior to thermal runaway excluding gas collection sample, (°C) | 211 |
| Flammable gas generation, (Liter) | --- |
| Total gas generation, (Liter) | 41.8 |
| Lower flammability limit (LFL) at ambient temperature (25 ± 5°C), (%) | 7.95 |
| Lower flammability limit (LFL) at average gas vent temperature, (%) | 6.95 |
| Burning velocity, (Cm/Sec) | 125.8 |
| Maximum pressure P _{max} , (psig) | 94.8 |
| Gas composition: | |



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| | | | | |
|--------------------|----------|--------------|---|---------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 3 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Cell Level Test Summary

| Gas | | Measured % |
|------------------------|------------|------------|
| Carbon Monoxide | CO | 8.949 |
| Carbon Dioxide | CO2 | 31.946 |
| Hydrogen | H2 | 51.599 |
| Methane | CH4 | 4.029 |
| Acetylene | C2H2 | 0.149 |
| Ethylene | C2H4 | 2.120 |
| Ethane | C2H6 | 0.693 |
| Propadiene (Allene) | C3H4 | N.D |
| Propylene | C3H6 | 0.232 |
| Propane | C3H8 | 0.074 |
| - | C4 (Total) | 0.140 |
| - | C5 (Total) | 0.017 |
| - | C6 (Total) | 0.006 |
| 1-Heptene | C7H14 | N.D |
| Styrene | C8H8 | N.D |
| Benzene | C6H6 | 0.002 |
| Toluene | C7H8 | N.D |
| Dimethyl Carbonate | C3H6O3 | 0.035 |
| Ethyl Methyl Carbonate | C4H8O3 | 0.007 |
| Diethyl Carbonate | C5H10O3 | N.D |
| Total | - | 100 |




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|--------------------|----------|--------------|---|---------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 4 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

| Module Level Test Summary | |
|---|--|
| Manufacturer: | FOXESS CO., LTD. |
| Brand name / Trademark: |  |
| Model number: | EQ4000 |
| Nominal voltage rating, (V) | 57.6 |
| Nominal capacity rating, (Kwh) | 3.97 |
| Approximate dimension, (mm) | WxHxD:570mmx138mmx380mm |
| Module certification available? (Yes/No) | Yes |
| Module evaluated with its associated BESS? (Yes/No) | Yes |
| Standard(s) used to certify product: | UL 1973 3 rd edition |
| Certification organization name and its certificate number: | CSA, Project No.80182814 |
| Module enclosure material: | Metallic |
| Electrical configuration of cell in module: | 18s-1p |
| Number of cells in module: | 18 |
| Method used to initiate thermal runaway: | External heating |
| Number of cells used for initiating thermal runaway: | 1 |
| Number of cells exhibited thermal runaway within module: | 3 |
| Cell to cell propagation condition: | Yes |
| Peak chemical heat release rate, (kW) | No flame observed |
| Flammable gas generation, (Liter) | 97.6 |
| Total gas generation, (Liter) | 124.1 |
| Weight loss, (%) | 1.1 |
| Gas composition: | CO ₂ (21.35%); THC (70.99%); CO(7.65%); H ₂ (below detectable limit) |
| Additional Information: | The sample to be tested is provided by the customer. |



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| | | | | |
|--------------------|----------|--------------|---|---------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 5 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

| Performance Module Level Test | | |
|---|---|---------|
| Requirement | Comments | Verdict |
| Thermal runaway was contained by module design. | Thermal runaway was contained by module design with no fire or explosion observed during test | P |
| Cell vent gas was nonflammable as determined by the cell level test. | Cell vent gas was flammable as determined by the cell level test | F |
| Summary of Result: | | |
| Unit level testing is required based on above performance condition indicated in Section 8.4 of UL 9540A 4 th Edition. | | |

Possible test case verdicts:

- Test case does not apply to the test object: N/A
- Test object does meet the requirement: P (Pass)
- Test object does not meet the requirement: F (Fail)

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| | | | | |
|--------------------|----------|--------------|---|---------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 6 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

| Clause | Requirement + Test | Result - Remark | Verdict |
|---------------------|--|---|---------|
| Construction | | | |
| 5 | General | --- | --- |
| 5.2 | Module | --- | --- |
| 5.2.1 | Enclosure material: | Metallic | --- |
| | General layout of module contents: | See attachment 2 for details | --- |
| | Electrical configuration of cell in module: | 18s-1p | --- |
| | Number of cells in module: | 18 | --- |
| 5.2.2 | Module certification available? (Yes/No) | Yes, Project No.80182814 | --- |
| | Module evaluated with its associated BESS? (Yes/No) | Yes | --- |
| | Standard(s) used to certify product: | UL 1973, 3 rd edition | --- |
| 5.2.3 | Module Level Test Report | See below | --- |
| Performance | | | |
| 8 | Module Level | --- | --- |
| 8.1 | Sample | --- | --- |
| 8.1.1 | Prior to testing, module sample was conditioned for minimum 2 charge (100% SOC) - discharge (Specified end of discharge voltage) cycle as per manufacturer specified method. | See attachment 1 for details | P |
| | Module was functional after minimum 2 charge discharge cycle. | See attachment 1 for details | P |
| | Ambient temperature during module conditioning. | See table 2 for details | P |
| 8.1.2 | The tested module had 100% SOC at the start of the test. The samples were allowed to rest for a minimum of one hour and a maximum of 8 h before the start of the test. | See table 2 for details | P |
| 8.1.3 | Electronics and software controls such as BMS were not relied upon for this testing. | Confirmed | P |
| 8.2 | Test method | --- | --- |
| 8.2.1 | Ambient temperature during module testing. | 19.4°C~25.7°C | P |
| 8.2.2 | Test was conducted under smoke collection hood | Confirmed | P |
| 8.2.3 | Weight of module was recorded before and after testing | See table 3 for details | P |
| 8.2.4 | Number of cells forced into thermal runaway inside module: | See below | P |
| | Number of cells forced into thermal runaway were able to create condition of cell-to-cell propagation | Total 3 cells exhibited thermal runaway due to 1 initiating cell failed | P |

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| | | | | |
|--------------------|----------|--------------|---|---------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 7 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

| Clause | Requirement + Test | Result - Remark | Verdict |
|--------|--|--|---------|
| | Location of cells forced into thermal runaway were selected to present greatest thermal exposure to adjacent cell. | See attachment 2 for details | P |
| | Factors consider when selecting location of cells inside module: | <input checked="" type="checkbox"/> Heat transfer was maximized to other cells. <input type="checkbox"/> Cooling by ventilation was restricted or limited. <input type="checkbox"/> Thermal sensors, detection and suppression discharge points were remote. <input type="checkbox"/> Other(explain): | P |
| 8.2.5 | Method used to initiate thermal runaway during cell level was used at the module level of testing | Confirmed | P |
| 8.2.6 | Occurrence of thermal runaway was verified by sustained temperature above the cell surface temperature at the onset of thermal runaway. | Confirmed | P |
| 8.2.7 | Module was placed on top of a noncombustible horizontal surface | Confirmed | P |
| | Module orientation was representative of its intended final installation | Confirmed | P |
| 8.2.8 | Chemical heat release rate of the module in thermal runaway was measured with oxygen consumption calorimetry | Confirmed | P |
| 8.2.9 | Chemical heat release rate was measured for the duration of the test | Confirmed | P |
| 8.2.10 | Equipment used for chemical heat release rate measurement: | <input checked="" type="checkbox"/> Paramagnetic oxygen analyzer <input checked="" type="checkbox"/> Non-dispersive infrared carbon dioxide analyzer <input checked="" type="checkbox"/> Non-dispersive infrared carbon monoxide analyzer <input checked="" type="checkbox"/> velocity probe <input checked="" type="checkbox"/> Type K thermocouple <input type="checkbox"/> Other(explain): | P |
| | The instrumentation was located in the exhaust duct. | Confirmed | P |
| 8.2.11 | Heat release rate was calculated as per following formula. $HRR_1 = \left[E \times \varphi - (E_{co} - E) \times \frac{1 - \varphi}{2} \times \frac{X_{CO}}{X_{O_2}} \right] \times \frac{\dot{m}_e}{1 + \varphi \times (\alpha - 1)} \times \frac{M_{O_2}}{M_e} \times (1 - X_{H_2O}^w) \times X_{O_2}^w$ | Confirmed | P |

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|--------------------|----------|--------------|---|---------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 8 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

| Clause | Requirement + Test | Result - Remark | Verdict |
|------------|--|--|---------|
| 8.2.12 | The hydrocarbon content of the vent gas was measured using flame ionization detection. | Confirmed | P |
| | Hydrogen gas was measured with a palladium-nickel thin-film solid state sensor. | Confirmed | P |
| 8.2.13 | The hydrocarbon components of the vent gas composition were additionally measured using a Fourier-Transform Infrared Spectrometer with a minimum resolution of 1 cm ⁻¹ and a path length of at least 2 m (6.6 ft), or an equivalent gas analyzer. | FTIR analysis was not used in accordance with the Certification Requirement Decision: Corrections to gas measurement methods to make FTIR as an option for measuring hydrocarbon contents of gas emissions and to include Hydrogen measurements during the Unit Level Test. FTIR was considered redundant to the other gas measurement methods used. | N/A |
| | Velocity and temperature measurements respectively was obtained in the exhaust duct of the heat release rate calorimeter using equipment specified in 8.2.10. | Confirmed | P |
| 8.2.14 | Light transmission in the exhaust duct was measured for the duration of the test. | Confirmed | P |
| 8.2.15 | Smoke release rate was calculated as per following formula. $SRR = 2.303 \left(\frac{V}{D} \right) \log_{10} \left(\frac{I_o}{I} \right)$ | Confirmed | P |
| 8.3 | Module level test report | --- | --- |
| 8.3.1 | Module level report include information indicated in item a) through o) | Confirmed | P |

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| Master Contract: | 302680 | Model: | EQ4000 | Page number 9 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Table 1 – Module charge/discharge specification

| | | | |
|---|-------|--|---------|
| Charging method | CC-CV | Discharging method | CC-CV |
| Charge current, (Adc) | 36 | Discharge current, (Adc) | 36 |
| Charge voltage, (Vdc) | 65.7 | Discharge voltage, (Vdc) | 52.2 |
| Charge end current, (Adc) | 2 | Discharge end current, (Adc) | 2 |
| Manufacturer recommended charge temperature, (°C) | 0~+55 | Manufacturer recommended discharge temperature, (°C) | -10~+55 |

Table 2 – Module rest duration

| Sample Number | Final charge end time | | Test start time | |
|---------------|-----------------------|-----------------------|----------------------|-----------------------|
| | Date (YYYY-MM-DD) | Time (HH:MM AM/PM) | Date (YYYY-MM-DD) | Time (HH:MM AM/PM) |
| 202403028 | 2024-03-19 | 13:52 PM | 2024-03-19 | 16:26 PM |

Ambient temperature during Module conditioning

| | |
|-------------------------------|--------------------------|
| Ambient Lab Temperature, (°C) | Relative Humidity, (%RH) |
| 22.0 to 25.4 | 45.0 to 50.0 |

Table 3 – Module level test

| | |
|---|--|
| Sample Number: | 202403028 |
| Ambient Lab Temperature, (°C) | 20.9 |
| Relative Humidity, (%RH) | 47.0 |
| Number of cells used for initiating thermal runaway: | 1 |
| Weight of module before testing, (kg) | 37.2 |
| Weight of module after testing, (kg) | 36.8 |
| Weight loss, (%) | 1.1 |
| Open circuit voltage before test, (Vdc) | 61.0 |
| Module charge capacity after final charge cycle, (Ahr) | 71.1 |
| External film heater ramp rate, (°C/min) | 4.5 |
| Other method used to initiate thermal runaway: | N/A |
| Location of cell for initiating thermal runaway: | See attachment 2 for details |
| Number of cells exhibited thermal runaway within module: | 3 |
| Location of cell exhibited thermal runaway within module: | See attachment 2 for details |
| Cell to cell propagation condition: | Yes |
| Peak chemical heat release rate, (kW) | No flame observed |
| Flammable gas generation, (Liter) | Before Flaming: 97.6 After Flaming: --- |



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| Master Contract: | 302680 | Model: | EQ4000 | Page number 10 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Table 3 – Module level test

| | |
|--|---|
| Total gas generation, (Liter) | Before Flaming: 124.1 After Flaming: --- |
| Peak smoke release rate, (m ² /sec) | 0.25 |
| Total smoke release, (m ²) | 67.56 |

Gas composition

| Gas Component | | Volume Released (Before Flaming) (Liter) | Volume Released (After Flaming) (Liter) |
|---|-----------------|--|---|
| Carbon Monoxide | CO | 9.5 | --- |
| Carbon Dioxide | CO ₂ | 26.5 | --- |
| Hydrogen(palladium-nickel thin-film solid state sensor) | H ₂ | Below detectable limit | --- |
| Total hydrocarbons (equivalent to CH ₄) | --- | 88.1 | --- |



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| Master Contract: | 302680 | Model: | EQ4000 | Page number 11 of 22 |
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Attachments

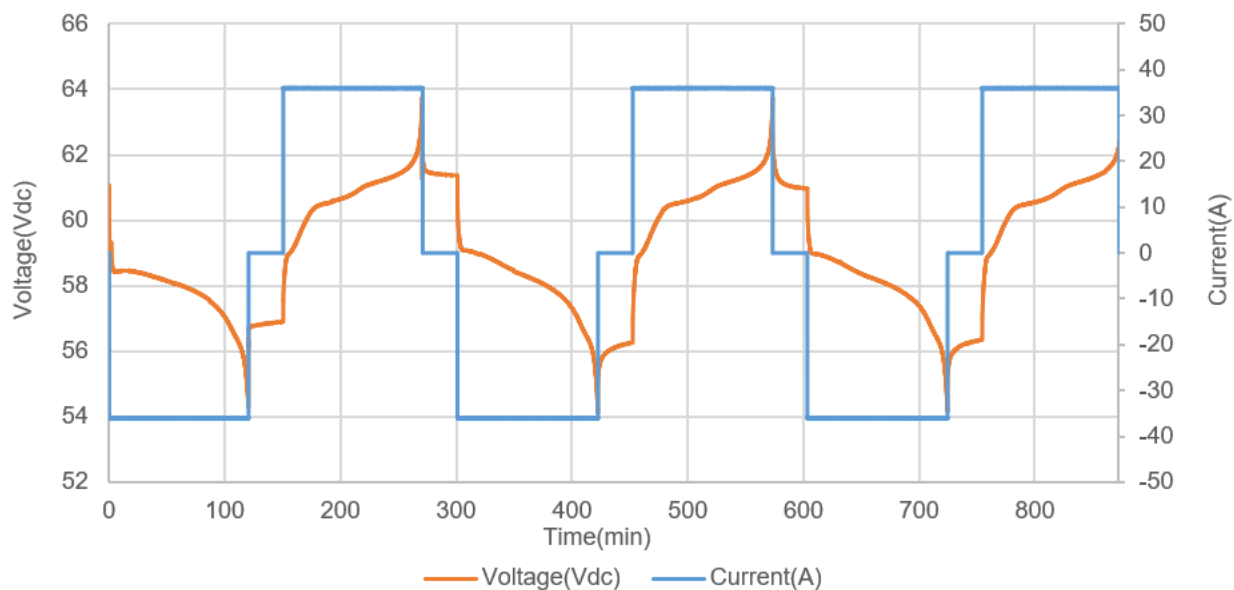
| Index of Attachments | | |
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| 7 | Notable observation during test | 22 |
| 8 | Video (separate file) | MP4 |

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Attachment 1 - Module charge/discharge conditioning graphs


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| Master Contract: | 302680 | Model: | EQ4000 | Page number 13 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Attachment 2 – Photos

General sample photos

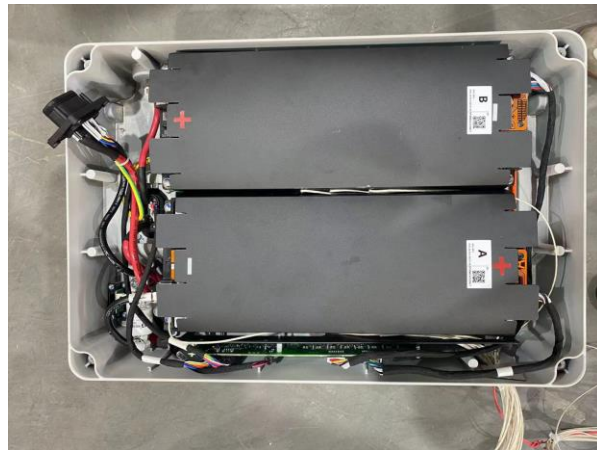


Figure 1: Module overview

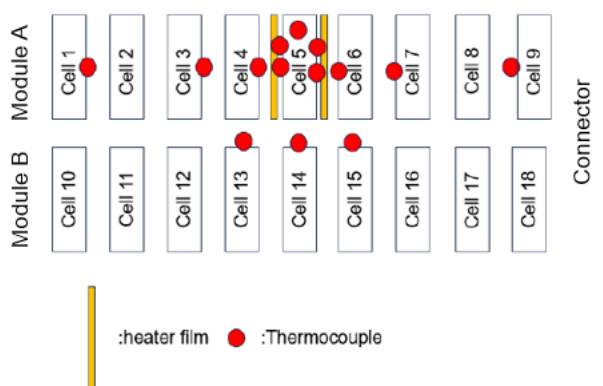
Photos with heater and thermocouple installation

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| Master Contract: | 302680 | Model: | EQ4000 | Page number 14 of 22 |
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Attachment 2 – Photos



T4:Cell 5 left side surface center(under heater)
T5:Cell 5 left side surface center not covered by heater film
T6:Cell 5 right side surface center(under heater)
T7: Cell 5 right side surface center not covered by heater film
T11:Cell 5 positive
T2:Cell 3 left side surface center
T3:Cell 4 left side surface center
T8:Cell 6 right side surface center
T9:Cell 7 right side surface center
T10:Cell 9 right side surface center
T1:Cell 1 left side surface center
T14:Cell 14 narrow side surface center
T13:Cell 13 narrow side surface center
T12:Cell 15 narrow side surface center

Figure 2: Heater film and TC location

Photos during test in progress



Figure 3: At test start (Time in 16:26)



Figure 4: During cell venting (Time in 17:01)



Figure 5: During thermal runaway (Time in 17:17)



Figure 6: During thermal runaway (Time in 17:19)

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| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Attachment 2 – Photos



No Text on this Box

Figure 7: During thermal runaway (Time in 17:20)

No Text on this Box

Photos after test



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| Master Contract: | 302680 | Model: | EQ4000 | Page number 16 of 22 |
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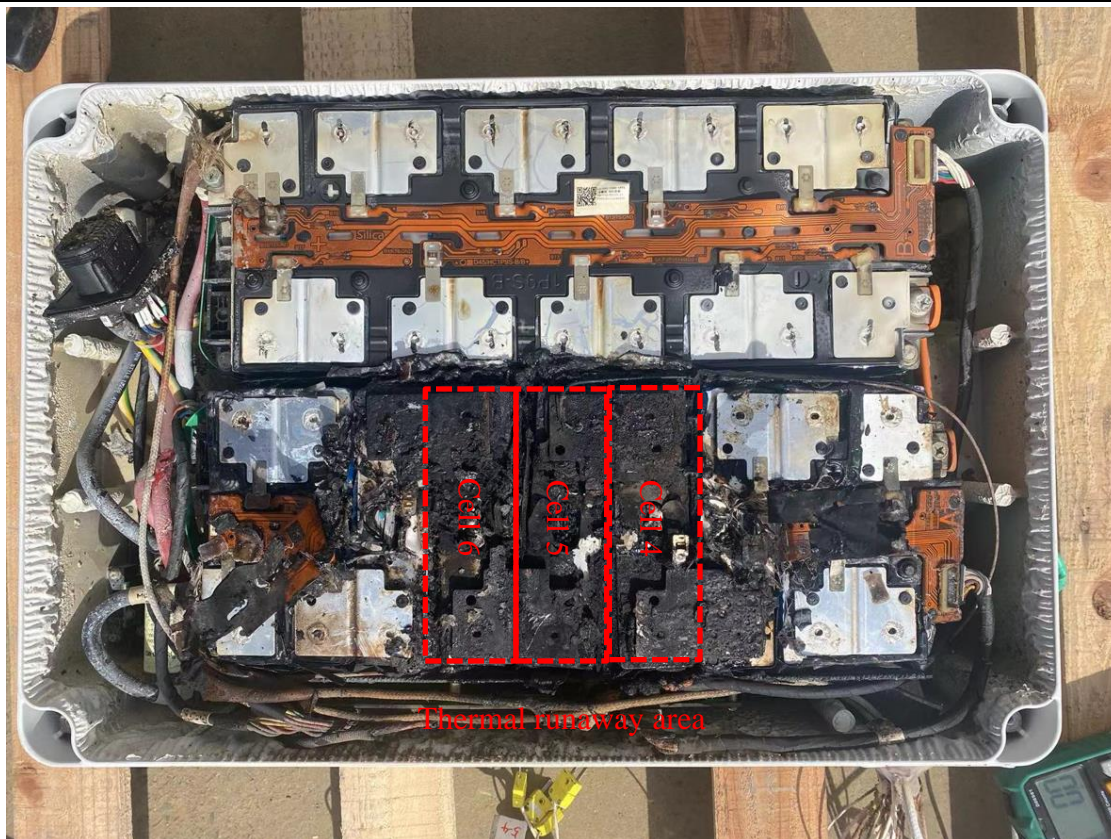
Attachment 2 – Photos


Figure 8: Module after test overview

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|--------------------|----------|--------------|---|----------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 17 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Attachment 3 - Cell temperature/voltage graph during testing

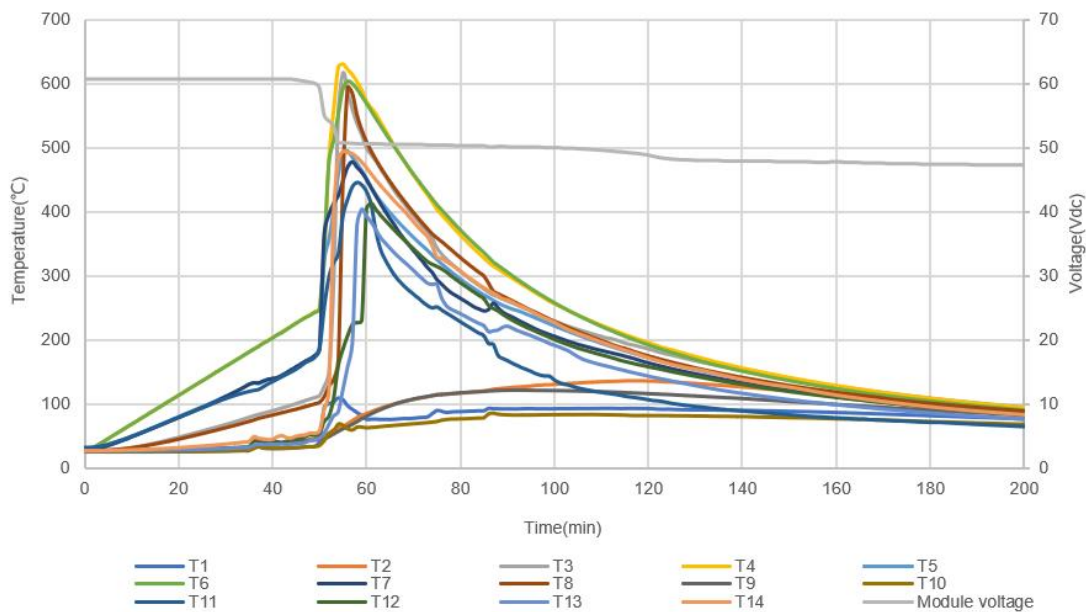


Figure 1: Initiating Cell temperature and module voltage

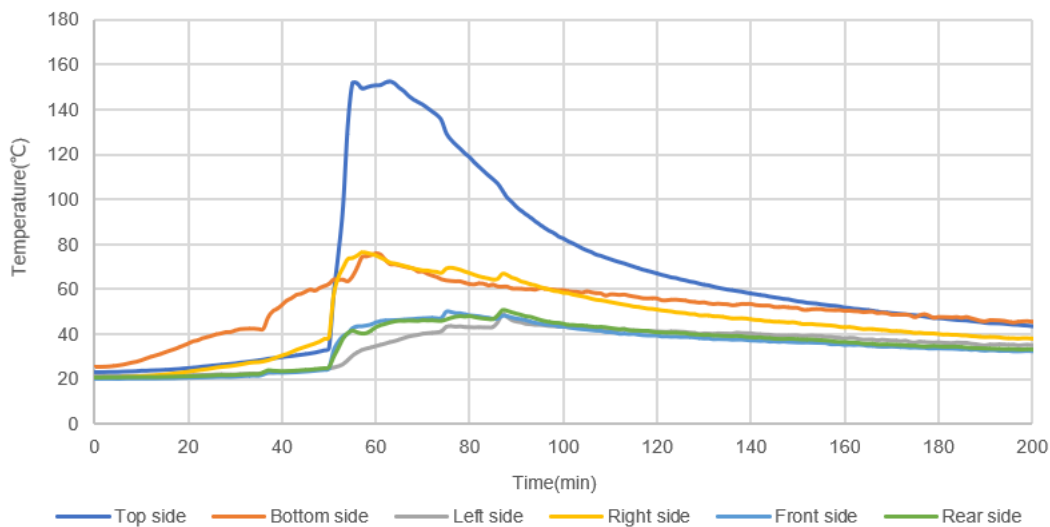


Figure 2: Module enclosure temperature



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| Master Contract: | 302680 | Model: | EQ4000 | Page number 18 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Attachment 3 - Cell temperature/voltage graph during testing**Maximum temperature measurement**

| Location | Measured maximum temperature (°C) |
|---|-----------------------------------|
| Maximum temperature within module(Cell 5 left side under heater film) | 630 |
| Maximum temperature at Module enclosure (top surface) | 152 |

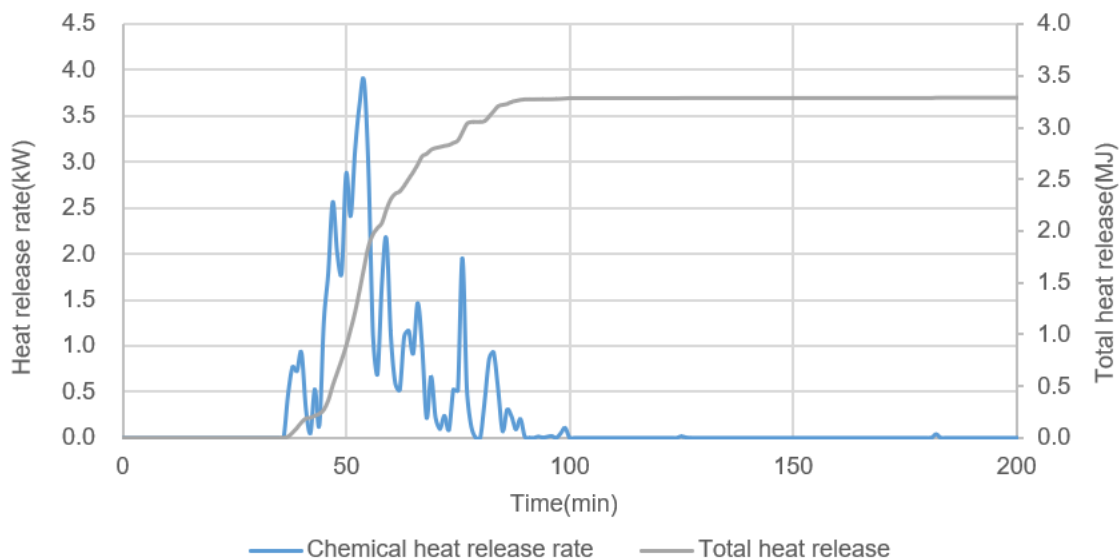
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| Master Contract: | 302680 | Model: | EQ4000 | Page number 19 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Attachment 4 - Heat Release Rate graphs



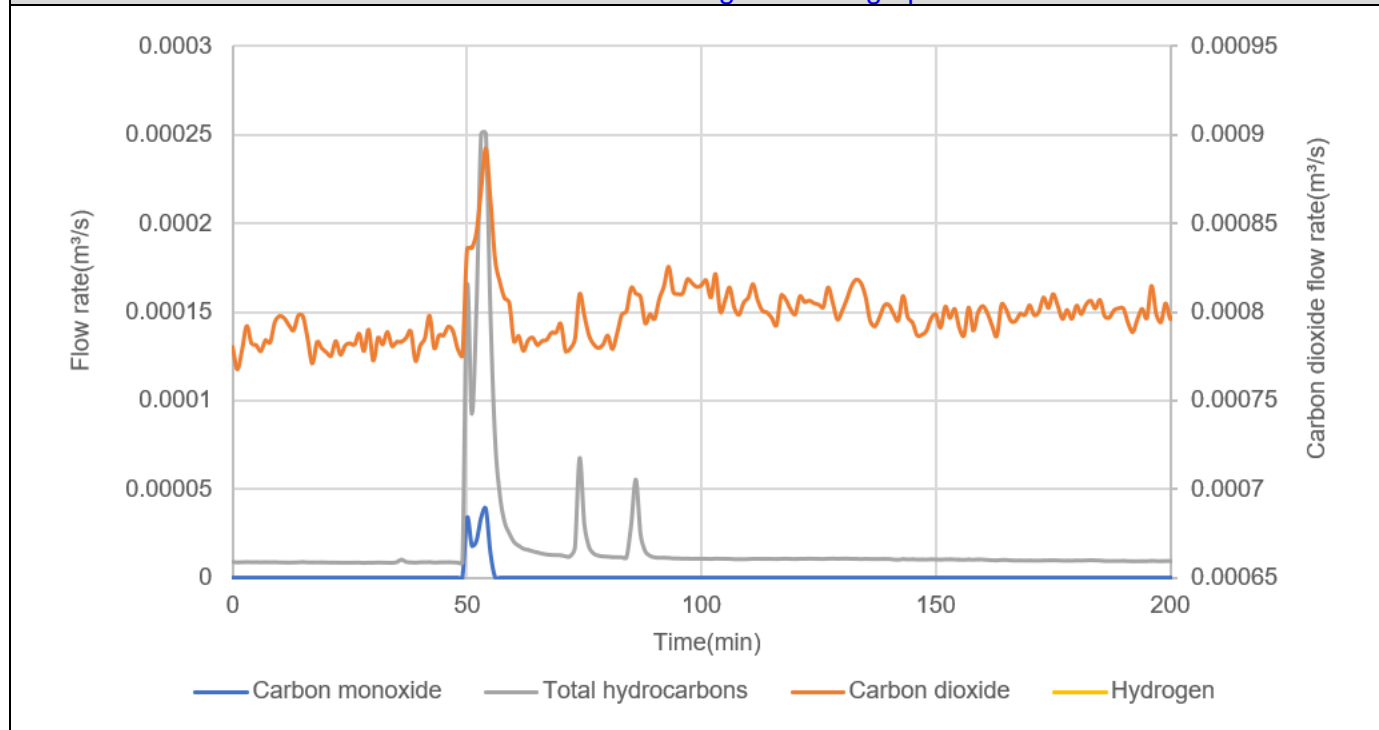
Note: No flame observed during test, so the heat release rate and Total Heat Release are identified as noise.

ORIGINAL TEST DATA

The results relate only to the items tested.

This report shall not be reproduced, except in full, without the approval of CSA Group Testing & Certification Inc.

| | | | | |
|--------------------|----------|--------------|---|----------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 20 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Attachment 5 - Gas generation graphs


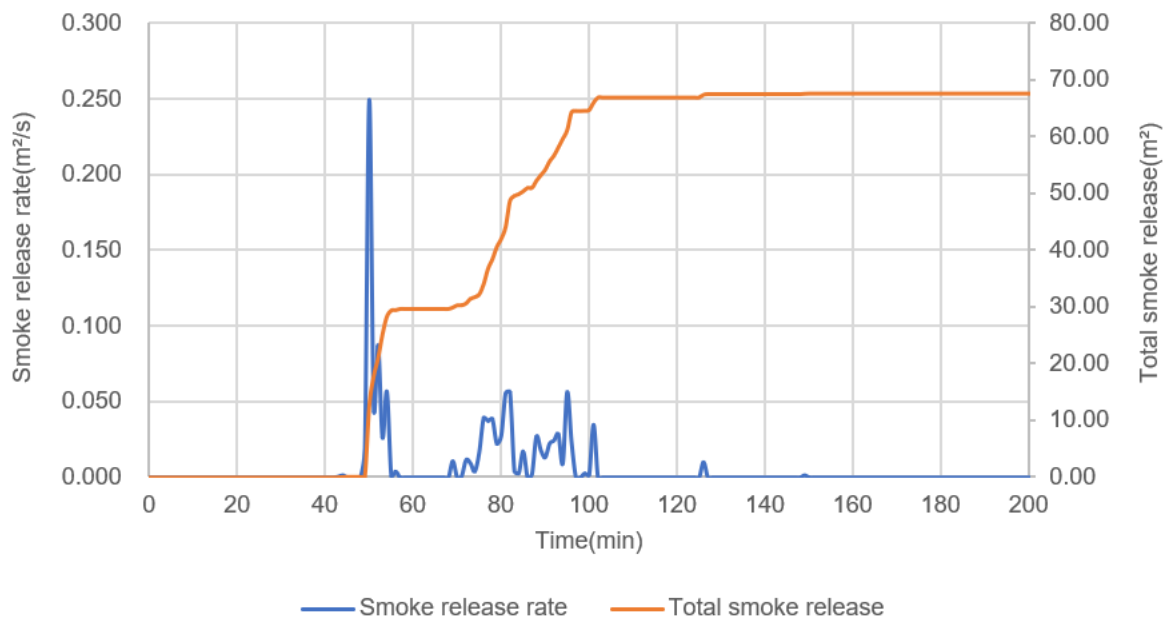
ORIGINAL TEST DATA

The results relate only to the items tested.

This report shall not be reproduced, except in full, without the approval of CSA Group Testing & Certification Inc.

| | | | | |
|--------------------|----------|--------------|---|----------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 21 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Attachment 6 - Smoke release graph





ORIGINAL TEST DATA

The results relate only to the items tested.

This report shall not be reproduced, except in full, without the approval of CSA Group Testing & Certification Inc.

| | | | | |
|--------------------|----------|--------------|---|----------------------|
| Master Contract: | 302680 | Model: | EQ4000 | Page number 22 of 22 |
| Project / Network: | 80204927 | Description: | Lithium-ion rechargeable battery module | |

Attachment 7 - Notable observation during test

| Observation | Time from test start (mm/dd HH:MM:SS) | Comment |
|-------------------------|--|---|
| Test start | 03/19 16:26:39 | Two pieces of heater film on cell 5 was energized with thermal ramp 4.5°C/min |
| Venting/Thermal runaway | 03/19 17:01:10 | Cell 5 was venting with temperature dropped |
| Venting/Thermal runaway | 03/19 17:17:03 | Cell 5 was thermal runaway with smoke released and all of heater film was deenergized |
| Venting/Thermal runaway | 03/19 17:19:05 | Cell 4 was thermal runaway with smoke released |
| Venting/Thermal runaway | 03/19 17:20:33 | Cell 6 was thermal runaway with smoke released |
| Test end | 03/20 08:30:00 | Data acquisition stopped |

End of Report....